Form 1449*			Docket Number: G&C 122.3-US-U1 Application Number: 09/879,821			79,821	
INFORMATION SISCLOSURE STATEMENT			Applicant: Gregory A. Fish et al.				
- /	· IN AN APPLICATION		Filing Date: June 11, 2001	Group	Group Art Unit: 2812		
MAR	2 6 2002						
l .	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·				
Tra.			U.S. PATENT DOCUMENTS				
EXAMINE INITIAL	RADENT NO.	DATE	NÀME	CLASS	SUBCLASS	1	DATE IF
MCL	4,896,325	01/23/90	Coldren				
MCL	5,088,097	02/11/92	Ono et al.		<u> </u>		
MCL	5,790,581	08/04/98	Nitta				
MCL	5,841,799	11/24/98	Hiroki				
			<u> </u>				
		1.	FOREIGN PATENTS	1	1	l	7
	DOCUMENT NO.	DATE	COUNTRY CLASS		SUBCLASS TRANSLATIO		ATION
	3000					YES	NO
	:						
	OTH	ER DOCUMEN	NTS (Including Author, Title, Date, P	ertinent Pages	Etc.)		
AAC 1						pt of Binar	v
1917	Superim	I.A. Avrutsky et al., "Design of Widely Tunable Semiconductor Lasers and the Concept of Binary Superimposed Gratings (BSG's)," IEEE Journal of Quantum Elec., April 1998, 34(4): 729-741					
MCL		L.A. Coldren et al., "Photonic Integrated Circuits," Diode Lasers and Photonic Integrated Circuits, John Wiley & Sons, 1995, ch. 8: 342-391					
MCL	L.A. Col	L.A. Coldren et al., "Properties of Widely-Tunable Integrated WDM Sources and Receivers," 1997					
A		Annual Meeting (LEOS), San Francisco, CA, USA, Nov. 1997, Paper No. TuY1, 331-332 [62-63]  L.A. Coldren et al., "Tunable Lasers for Photonic Integrated Circuits," IEEE Summer Topical on					
MCL	Integrate	Integrated Optoelectronics, Lake Tahoe, NV, USA, July 1994, Paper No. W4.1, 88-89					
ми	l l	L.A. Coldren, "Widely-Tunable and Vertical-Cavity Lasers: DBR's on Different Planes," Integrated Photonics Research, San Francisco, CA, USA, Feb. 1994, Paper No. ThA3-1, 75-76					
MCL	G. Fish	G. Fish et al., "Compact, 4 X 4 InGaAsP-InP Optical Crossconnect with a Scaleable Architecture," IEEE					
			Sept. 1998, 10(9): 42-44	Ca A sP Csox	ue bu MOCVI	Through	
MCC		G. Fish et al., "Improved Compositional Uniformity of InGaAsP Grown by MOCVD Through Modification of the Susceptor Temperature Profile," Journal of Crystal Growth, 1997, 32-38					
11.55	G. Fish	et al., "InGaAsl	P/InP Scaleable, Photonic Crosso	onnects Usin	g Optically Am	plified Sup	
MCL		Modal Interference Switch Arrays," Integrated Photonics Research '98, Victoria, Canada, March 1998, Paper No. ITuE4, 243-245 [39-41]					
EXAMINER:	Marthul	1 , .		DED. C	118/02		
		red, whether or r	DATE CONSIDE		line through citat	ion if not in	
		a gamu of this for	un for mout communication to the Amer	allana / /			

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

Form 1449*	Docket Number: G&C 122.3-US-U1	Application Number: 09/879,821	
INFORMATION DISCLOSURE STATEMENT	Applicant: Gregory A. Fish et al.		
IN AN APPLICATION	Filing Date: June 11, 2001	Group Art Unit: 2812	

MCL	G. Fish et al., "InGaAsP/InP Suppressed Modal Interference Switches with Integrated Curved
PICCI	Amplifiers for Scaleable Photonic Crossconnects," Optical Fiber Conference '98, San Jose, CA, USA, Feb. 1998, Paper No. TuH4, 1pp
<b>1</b>	G. Fish et al., "Suppressed Modal Interference Switches with Integrated Curved Amplifiers for Scaleable
mc mcl	Photonic Crossconnects," IEEE Photonics Tech. Lett., Feb. 1998, 10(2)28-30
MCL	M.E. Heimbuch et al., "Tertiarybutylarsine and Tertiarybutylphosphine for the MOCVD Growth of Low
	Threshold 1.55 µm In <sub>x</sub> Ga <sub>1-x</sub> As/InP Quantum-Well Lasers," Journal of Elec. Materials, 1994, 23(2): 77-81
	H. Ishii et al., "Broad-range Wavelength Coverage (62.4nm) with Superstructure-Grating DBR Laser,"
MU	Elec. Lett., Feb. 29, 1996, 32(5): 454-455
nce	H. Ishii et al., "Quasicontinuous Wavelength Tuning in Super-Structure-Grating (SSG) DBR Lasers,"
70	IEEE Journal of Quantum Elec., March 1996, 32(3): 433-441
1 11/2/	Y-H. Jan et al., "Widely Tunable Integrated Filter/Receiver with Apodized Grating-Assisted
MCL	Codirectional Coupler (INVITED)," SPIE Photonics West '98, San Jose, CA, USA, Jan. 1998, Paper No.
	3290-232: 24-27
MCL	V. Jayaraman et al., "Continuous-Wave Operation of Sampled Grating Tunable Lasers with 10 mwatt
' -	Output Power, >60 nm Tuning, and Monotonic Tuning Characteristics," Indium Phosphide Conference,
	Santa Barbara, CA, USA, March 1994, 33-36 [82-85]  V. Jayaraman et al., "Demonstration of Broadband Tunability in a Semiconductor Laser Using Sampled
MCL	Gratings," Appl. Phys. Lett., May 1992, 60(19): 110-112
	V. Jayaraman et al., "Extended Tuning Range in Sampled Grating DBR Lasers," IEEE Photonics Tech.
MCC	Lett., May 1993, 5(5): 103-105
	V. Jayaraman et al., "Extended Tuning Range Semiconductor Lasers with Sampled Gratings," LEOS '91,
MCL	San Jose, CA, USA, Nov. 1991, Paper No. SDL15.5: 82 [113]
4 . 2 :	V. Jayaraman et al., "Theory, Design, and Performance of Extended Tuning Range Semiconductor Lasers
MCL	with Sampled Gratings," IEEE Journal of Quantum Elec., June 1993, 29(6): 92-102
nce	V. Jayaraman et al., "Very Wide Tuning Range in a Sampled Grating DBR Laser," Int. Semiconductor
7	Laser Conference, Takamatsu, Japan, Sept. 1992, 108-109
	V. Jayaraman, et al., "Wide Tunability and Large Mode-Suppression in a Multi-Section Semiconductor
MCL	Laser Using Sampled Gratings," Integrated Photonics Research '92, New Orleans, LA, USA, April 1992,
	Paper No. WF1, 306-307 [106-107]
MCL	V. Jayaraman et al., "Widely Tunable Continuous-Wave InGaAsP/InP Sampled Grating Lasers," Elec. Lett., Sept. 1994, 30(18): 90-91
	S-L. Lee et al., "Direct Modulation of Widely Tunable Sampled Grating DBR Lasers," SPIE, 1996,
MCL	2690(223): 223-230 [64-71]
MCE	S-L. Lee et al., "Dynamic Responses of Widely Tunable Sampled Grating DBR Lasers," Photonics Tech.
""	Lett., Dec. 1996, 8(12): 72-74
1.01	S-L. Lee et al., "Field-Induced Guide/Antiguide Modulators on InGaAsP/InP," Elec. Lett., June 9, 1994,
MCC	30(12): 954-955 [86-87]
MCL	S-L. Lee et al., "Integration of Semiconductor Laser Amplifiers with Sampled Grating Tunable Lasers for
MU	WDM Applications," IEEE Journal of Selected Topics in Quantum Elec., April 1997, 3(2): 49-61
10(1	B. Mason et al., "Design of Sampled Grating DBR Lasers with Integrated Semiconductor Optical
MCL	Amplifiers," IEEE Photonics Tech. Lett., July 2000, 12(7): 1-3
MCL	B. Mason et al., "Directly Modulated Sampled Grating DBR Lasers for Long-Haul WDM
7-04	Communication Systems," IEEE Photonics Tech. Lett., 9(3): 46-48
1 1161	B. Mason et al., "Monolithic Integration of a Widely Tunable Laser and an Electro-Absorption
NCT	Modulator," Integrated Photonics Research '99, Santa Barbara, CA, USA, July 1999, Paper No. RME2,
	53-55 [7-9]

EXAMINER: Menther of Acradian	DATE CONSIDERED:	9/18/07			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in					
conformance and not considered. Include copy of this form for next communication to the Applicant.					

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

Form 1449*	Docket Number: G&C 122.3-US-U1	Application Number: 09/879,821	
INFORMATION DISCLOSURE STATEMENT	Applicant: Gregory A. Fish et al.		
IN AN APPLICATION	Filing Date: June 11, 2001	Group Art Unit: 2812	

aveguide Sampled Grating DBR Lasers with 22-nm Quasi-Continuous Tuning Technology Letters, Sept. 1998, 10(9): 19-21 Grating DBR Lasers with 22nm Quasi-Continuous Tuning and Monolithically onitors," Int'l. Semiconductor Laser Conf. '98, Nara, Japan, Oct. 1998, Paper
Grating DBR Lasers with 22nm Quasi-Continuous Tuning and Monolithically
Grating DBR Lasers with Integrated Wavelength Monitoring," Integrated ictoria, Canada, March 1998, Paper No. IMD5, 52-54 [13-15]
Sampled-Grating DBR Lasers with Integrated Wavelength Monitors," IEEE g. 1998, 10(8): 16-18
unable Lasers for Wavelength-Division Multiplexed Communications," Optical Dallas, TX, USA, Feb. 1997, 45
unable Sampled Grating DBR Laser with Integrated Electroabsorption iics Tech. Lett., June 1999, 11(6): 4-6
iwavelength Distributed Bragg Reflector LaserGrating Mask," J. Vac. Sci. 3, 11(6): 2509-2513

	Muchen Coloneleus	DATE CONSIDERED:	9/18/02		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in					
conformance and not	considered. Include copy of this form for next com	munication to the Applicant.	<b>G</b>		